Chronic Obstructive Pulmonary Disease
Clinical implications for patients with lung cancer

Brandi R. Newsome, MD, Karen McDonnell, PhD, RN, OCN®, Jennifer Hucks, MD, and Robin Dawson Estrada, PhD, RN, CPNP-PC

BACKGROUND: Chronic obstructive pulmonary disease (COPD) is the most common smoking-related illness. COPD often is underemphasized as a comorbidity except when considering issues surrounding surgical treatment options.

OBJECTIVES: This article aims to provide nurses with an overview of the pharmacologic and nonpharmacologic treatment implications of COPD.

METHODS: Definitions, differentials, and treatment considerations are provided, and clinical implications and resources are described.

FINDINGS: The added burden of dyspnea, fatigue, and psychological distress related to COPD may affect the overall outcome and quality of life (QOL) of patients with lung cancer. Attention to the prevention, assessment, and treatment of lung cancer and COPD and related symptomatology will help maximize patients’ QOL.

KEYWORDS
chronic obstructive pulmonary disease; immunotherapy; lung cancer; quality of life

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treatment considerations for patients with lung cancer who have COPD as a comorbid condition. The authors conclude with practice implications and resources for clinicians (namely, oncology nurses and advanced practice nurses) in relation to the most common symptoms of COPD: dyspnea, fatigue, and psychological distress.

The Global Initiative for Chronic Obstructive Lung Disease ([GOLD], 2016) defines COPD as “persistent airflow limitation that is usually progressive and associated with an enhanced chronic inflammatory response in the Airways and the lung to noxious particles and gases” (p. 2). Cigarette smoking is the most important single risk factor for the development of COPD. However, many people with COPD are not cigarette smokers, and large proportions of them are younger people, women, or residents of developing countries. Key risk factors for COPD include genetic syndromes, such as α1-antitrypsin deficiency, and occupational exposures. Additional factors include outdoor air pollution, secondhand smoke, smoke from burning fuels, and dietary factors; however, the evidence is not sufficient to infer a causal relationship for any of these factors (Eisner et al., 2010).

**Diagnosis and Differential**

Healthcare professionals often suspect COPD based on a patient’s clinical presentation, which may include a prolonged history of dyspnea, sputum production, exercise intolerance, coughing, or wheezing. Diagnosis is confirmed by measures of airflow limitation, which is determined by the spirometry portion of a pulmonary function test (see Table 1) and includes forced expiratory volume (FEV1, the amount of air forcefully exhaled in one second) and forced vital capacity (FVC, the total amount of air exhaled). COPD traditionally is diagnosed when the post-bronchodilator FEV1/FVC fixed ratio is less than 0.7. Although controversy surrounds this topic, the American Thoracic Society and European Respiratory Association define obstruction as the fifth-percentile lower limit of normal of the FEV1/FVC ratio, rather than the fixed ratio, because the fixed ratio decreases with age (van Dijk et al., 2015). Severity staging is based on the GOLD (2016) classification system and considers airflow limitation measures, symptoms, breathlessness, and hospital admissions.

Some symptomatic patients with a smoking history do not have obstruction during pulmonary function testing and do not meet the criteria for COPD but are found to have emphysema on a computed tomography scan. Emphysema is the physical distention and destruction of the lung alveoli and is treated very similarly to COPD. However, patients with emphysema tend to have lower body mass index and require more oxygen, and patients with COPD more consistently complain of dyspnea, cough, and increased sputum production (Renvall, Friedman, & Ramsdel, 2009).

Asthma, bronchiolitis obliterans, bronchiectasis, and congestive heart failure also can mimic and overlap COPD; failure to rule out these illnesses can harm a patient. For example, misdiagnosis of asthma in a patient with COPD could lead to inappropriate use of inhaled corticosteroids, thereby increasing a patient’s risk of severe pneumonia. Conversely, the misdiagnosis of COPD in patients with asthma could result in the underuse of inhaled corticosteroids, thereby increasing potential for asthma exacerbations (Price, Yawn, & Jones, 2010).

**Treatments**

COPD treatment focuses on nonpharmacologic and pharmacologic therapies, as well as potential modifications to lung cancer therapy (Jantarakupt & Porock, 2005). Nurses have unique roles as interprofessional team members who can direct attention to curative and palliative therapies.

**Nonpharmacologic Options**

**SMOKING CESSATION:** Smoking cessation interventions have the greatest capacity to influence the natural history of COPD. Relapse is common and reflects the nature of tobacco dependence and addiction, not clinician or patient failure. Counseling by healthcare professionals significantly increases quit rates as opposed to self-initiated strategies. A strong dose-response relationship exists between counseling intensity and smoking cessation success (Eisner et al., 2010); however, even brief (three-minute) counseling results in smoking cessation rates of 5%–10% (Fiore et al., 2008). Psychological dependence is a powerful issue for most patients, and stress is a common reason cited for smoking resumption. Stress alleviation interventions,

| TABLE 1. GOLD CRITERIA FOR DIAGNOSING AND STAGING COPD |
|-----------------|-----------------|-----------------|-----------------|
| **GOLD STAGE**  | **COPD DIAGNOSIS** | **FEV1/FVC RATIO** | **FEV1 VALUE** |
| I                | Mild            | Less than 0.7    | 80% or greater normal |
| II               | Moderate        | Less than 0.7    | 50%–79% normal    |
| III              | Severe          | Less than 0.7    | 50%–49% normal    |
| IV               | Very severe     | Less than 0.7    | Less than 30% normal (or less than 50% normal when chronic respiratory failure is present) |

COPD—chronic obstructive pulmonary disease; FEV1—forced expiratory volume; FVC—forced vital capacity; GOLD—Global Initiative for Chronic Obstructive Lung Disease

In 2009, the Society for Support groups are another means of motivating patients to better manage their chronic lung diseases (see Figure 2). The American Lung Association (ALA), 2018 supports Better Breathers Club, which consists of local, in-person support groups for patients with chronic lung diseases and their family members. Online resources offer educational and emotional support for homebound and rural patients. Some of these resources allow web-based interactivity between lung cancer specialists and patients and their family members (Schook et al., 2013). The Lung Cancer Alliance created the Phone Buddy Program, which connects survivors and patients with lung cancer for information and emotional support purposes. Innovative mobile applications help patients monitor their symptoms and even have facilitated early identification of tumor recurrence, significantly improving chances of survival (Denis et al., 2016).

**BREATHING AND POSITIONING TECHNIQUES:** The American Thoracic Society and European Respiratory Association recommend positioning techniques (e.g., upright postures, use of rollator walkers) for patients with COPD (Solway, Brooks, Lau, & Goldstein, 2002; Spruit et al., 2013) and breathing exercises for patients unable to access or complete pulmonary rehabilitation (Holland, Hill, Jones, & McDonald, 2012). Although these positions have not been demonstrated to enhance exercise performance, many patients with chronic lung disease use these techniques instinctively and report decreased dyspnea. Assistive devices like rollator walkers allow forward leaning with arm support while ambulating and have been shown to decrease dyspnea and increase exercise capacity (Probst et al., 2004; Spruit et al., 2013).

A Cochrane review of breathing exercises for patients with COPD, including pursed lip breathing, diaphragmatic breathing, and other techniques, concluded that breathing training positively affects exercise capacity and has a mixed-results effect on dyspnea (Holland et al., 2012). Pursed lip breathing attempts to prolong active expiration through half-opened lips and has been found to ease COPD-related dyspnea and provide other breathing-related benefits, at rest (Bianchi et al., 2004; Nield, Soo Hoo, Roper, & Santiago, 2007). Some evidence exists that pursed lip breathing also can improve exercise capacity and the recovery respiratory rate during exercise (Bhatt et al., 2013).

Diaphragmatic breathing techniques decrease the energy load of breathing and improve the distribution of ventilation (Vitacca, Clini, Bianchi, & Ambrosino, 1998); however, the evidence is mixed on whether diaphragmatic breathing affects dyspnea. These mixed results could be because of inconsistently applied instruction and insufficient training to ensure that a patient performs diaphragmatic breathing correctly (Calhalin, Braga, Matsuo, & Hernandez, 2002; Holland et al., 2012). Diaphragmatic breathing should be discontinued if patients experience increased dyspnea and fatigue during it. Suggestions for optimizing diaphragmatic breathing training success include upright positioning (forward leaning if severe COPD), sensory stimulation (tactile, auditory, and visual), and evaluation of patient competency during performance (Calhalin et al., 2002).

**PULMONARY REHABILITATION:** Pulmonary rehabilitation provides supervised, progressive exercise rehabilitation for patients with COPD. A typical program focuses on breathing exercises, exercise reconditioning, progressive relaxation techniques, and smoking cessation, along with education about the disease process and related equipment, a nutritional evaluation, and social support (Mathai, 2016). Longer (more than 12 weeks) programs are more beneficial than shorter ones, with an eight-week minimum being required for a substantial effect on exercise performance and quality of life (QOL) (Spruit et al., 2013). Typically, a home-based component follows the main pulmonary rehabilitation program. Continued exercise has been shown to prevent reversion to baseline dyspnea one year postprogram (du Moulin, Taube, Wegscheider, Behnke, & van den Bussche, 2009).

**COMPLEMENTARY THERAPIES:** In 2009, the Society for Integrative Oncology issued clinical practice guidelines recommending mind–body modalities, including mindfulness-based stress reduction and yoga, for patients with cancer (Shennan, Payne, & Fenlon, 2011). The American College of Chest Physicians later recommended that symptomatic individuals with lung cancer use mind–body modalities to reduce acute pain, chronic pain, anxiety, mood disturbance, and sleep disturbances, and to improve QOL; they also should consider using movement-based mind–body modalities (e.g., yoga) to reduce fatigue and sleep disturbances while improving mood and QOL (Deng et al., 2013).

**Pharmacologic Options**

**INHALED MEDICATIONS:** Inhaled medications are of primary importance in managing COPD symptoms. These medications...
include rescue and controller medications and come in mist or powder form. The benefits of using inhalers correctly cannot be overstated. In a study by Sulku et al. (2016), half of patients with COPD demonstrated incorrect inhaler technique, including an inability to load the inhaler or hold it correctly. Patients with less education are significantly more likely to demonstrate incorrect inhaler technique, most likely related to lower health literacy (Melzer et al., 2017). When offering medication information, nurses can include print materials designed at a basic reading level. In-person inhaler training provides real-time feedback on the correct technique. This reinforcement is particularly important for older adults, because they are more likely to benefit from tailored education that includes technique coaching (Crane, Jenkins, Goeman, & Douglass, 2014). Technology-based interventions, such as videos and electronic devices that connect directly to the inhaler, also may be helpful (Carpenter, Roberts, Sage, George, & Horne, 2017).

\[ \beta_2 \text{ adrenergic agonists are classified as either short-acting (SABAs, with a 3- to 6-hour effect) or long-acting (LABAs, with a 12- to 24-plus-hour effect).} \]

SABAs and LABAs relax smooth muscles, resulting in bronchial dilation and increased mucociliary clearance (Ohar & Donohue, 2010). For asymptomatic or minimally symptomatic patients, a SABA used as needed is appropriate. When COPD symptoms cannot be controlled with a SABA alone, GOLD (2016) guidelines recommend a LABA or long-acting inhaled muscarinic antagonist (LAMA), also known as an anticholinergic. Inhaled LAMAs achieve bronchodilation and decreased mucus production by inhibiting airway cholinergic tone. LAMAs are not for rescue use because their effect is delayed; however, because of their prolonged duration of action (24-plus hours), LAMAs are more effective than LABAs in preventing exacerbations (Vogelmeier et al., 2011). LABAs and LAMAs can be prescribed separately or, for symptomatic patients, in combination (for a synergistic bronchodilator effect).

Inhaled corticosteroids, used alone or with LABAs, are controversial in COPD management. Earlier studies showed no significant survival benefit for patients with COPD using inhaled corticosteroids alone, while demonstrating an increased risk for adverse effects (e.g., severe pneumonia). In addition, patients using inhaled corticosteroids and LABAs had no greater bronchodilation than those using only LABAs (Ernst, Gonzalez, Brassard, & Suissa, 2007). Although inhaled corticosteroids can be added later to help with uncontrolled symptoms, evidence suggests that inhaled corticosteroids may be most appropriate for patients with COPD who have concurrent asthma or documented sputum eosinophilia (Ernst, Saad, & Suissa, 2015).

**OTHER MEDICATIONS:** Theophylline, a methylxanthine drug with weak bronchodilating effects, historically was used in patients with COPD to relax bronchial smooth muscle. Theophylline rarely is used currently because of its potential interaction with other medications and numerous side effects. Theophylline typically is used as salvage therapy when other treatments are ineffective and other traditional medical options have been exhausted (Barnes, 2003).
The newest class of drugs used in patients with COPD is roflumilasts, selective long-acting phosphodiesterase-4 inhibitors indicated to reduce exacerbations in patients with chronic bronchitis. Primary side effects include weight loss and diarrhea on initiation (Calverley, Martínez, Fabbri, Goehring, & Rabe, 2012). Although roflumilasts have been shown to ease dyspnea symptoms, improve QOL, and decrease exacerbations, none have been shown to increase survival rates (Karner, Chong, & Poole, 2012; Welte, 2009). Increasing costs, plus changes in Medicare benefits, have made these medicines increasingly unaffordable.

OXYGEN THERAPY: Oxygen therapy is recommended for patients with COPD who have documented hypoxemia (abnormally low oxygen in the blood), which often is confused with breathlessness (the sensation of not being able to breathe) (Welte, 2009). A patient must meet one of three specified criteria to qualify for insurance coverage for oxygen therapy. Although it does not improve lung function, oxygen therapy is associated with improved survivability in patients with COPD who have hypoxemia and may improve dyspnea in patients with minimal to no hypoxemia (Uronis et al., 2015). Evidence suggests that continuous supplemental oxygen improves exercise capacity in patients with mild to moderate COPD (Stoller, Panos, Krachman, Doherty, & Make, 2010). However, an evaluation of 738 patients with COPD who did not desaturate at rest or drop below 80% during a walk test showed no difference in survival rates, COPD exacerbations, QOL, walk distance, or lung function in patients given supplemental oxygen versus those not given it (Long-Term Oxygen Treatment Trial Research Group, 2016).

VACCINES: Influenza and pneumococcal vaccines are important to the care of patients with chronic lung disease. Updated Centers for Disease Control and Prevention (CDC, 2017) guidelines recommend that patients with chronic lung disease who are considered high-risk and aged 19–65 years receive one dose of the pneumococcal polysaccharide vaccine (PPSV23); patients aged 65 years or older should receive the newer vaccine (PPSV13), followed by a second (final) dose of PPSV23 one year later.

**FIGURE 2. SMOKING CESSATION SUPPORT GROUPS FOR PATIENTS AND FAMILY MEMBERS**

**BETTER BREATHERS CLUB®**
A support group for patients with chronic lung disease and their family members. Groups meet regularly and feature educational presentations on a wide range of relevant topics, including how chronic obstructive pulmonary disease affects the lungs and breathing techniques.
- [www.lung.org/support-and-community/better-breathers-club](http://www.lung.org/support-and-community/better-breathers-club)

**CRAVING TO QUIT**
A 21-day mindfulness-based smoking cessation program (available at a fee) and an example of a mobile application for smoking cessation
- [www.cravingtoquit.com](http://www.cravingtoquit.com)

**FREE TO BREATHE**
A nonprofit partnership of lung cancer survivors, advocates, researchers, healthcare professionals, and industry leaders, united by a belief that every person with lung cancer deserves a cure
- [www.freetobreathe.org](http://www.freetobreathe.org)

**LUNG CANCER ALLIANCE (LCA)**
A nonprofit organization dedicated to fighting lung cancer in the United States, with a mission of saving lives and advancing research by empowering those living with and at risk for lung cancer. LCA offers a help line; a peer-support program, the Phone Buddy Program; and an online support community. An LCA Unite mobile application provides a platform for tracking treatment side effects and locating support groups and lung cancer Screening Centers of Excellence and clinical trials.
- [www.lungcanceralliance.org](http://www.lungcanceralliance.org)

**LVNG WITH LUNG CANCER**
A community of people living with lung cancer who are inspiring one another to face each day with authenticity and hope; supported by AstraZeneca
- [www.lvng.com](http://www.lvng.com)

Lung Resection and Radiation
The trend toward referring patients with more severe COPD for resection began after several published studies found that patients who underwent lobectomies had either minimal loss of FEV1 or stability (Carretta et al., 1999). One study (Korst et al., 1998) found that patients with the lowest FEV1 values experienced improvement in these values postoperatively. Since then, the association between patients with COPD and early-stage lung cancer, and lower overall and progression-free survival after complete resection, has been confirmed in a retrospective review of 442 patients with stage IA lung cancer (Sekine et al., 2007). In this review, pneumonia and tracheostomy tube placement rates were higher in patients with COPD (Sekine et al., 2007).

These data raise the question of whether patients with COPD should be offered resection if their mortality rate and
postoperative complication risk are higher. Pompili, Brunelli, Refai, Xiumè, and Sabbatini (2016) studied 50 patients with COPD and 50 without who underwent lobectomy for early-stage lung cancer; they did not detect a difference in QOL scores or pre- and postoperative QOL between groups. Several studies have examined QOL after stereotactic body radiation therapy (SBRT), or stereotactic ablative radiation therapy (SABR) (Crabtree et al., 2015; Lagerwaard et al., 2012; Onishi et al., 2011). A prospective study of 382 patients who underwent SBRT/SABR in the Netherlands showed no clinical or statistical differences pre- and post-treatment (Lagerwaard et al., 2012). Although QOL is important, the best option adequately balances QOL and survival concerns. Two studies comparing SBRT/SABR and surgical resection treatments showed comparable survival rates (Palma, Lagerwaard, Rodrigues, Haasbeek, & Senan, 2012; Zheng et al., 2014). Therefore, SBRT/SABR is a viable option for patients unable to undergo surgical resection.

Chemotherapy and Immunotherapy

Although most patients traditionally have received platinum-based therapies for stage III or IV non-small cell lung cancer (NSCLC), interest is growing in immunotherapy, specifically checkpoint inhibitors. These drugs have minimal side effects and are being studied as rescue therapy, frontline therapy, and supplements to traditional chemotherapy (Rafei, El-Bahesh, Finianos, Nassereddine, & Tabbara, 2017). One such inhibitor, pembrolizumab, was compared to chemotherapy in a group of patients with advanced NSCLC. Pembrolizumab yielded significantly longer progression-free and overall survival with fewer side effects compared to platinum-based chemotherapy (Reck et al., 2016). Results are promising for patients with significant comorbidities and limited tolerance of therapy.

Pulmonary Rehabilitation

Much research has gone into determining if patients undergoing lung cancer resection would benefit from pulmonary rehabilitation pre- or postoperatively. Patients with COPD who undergo pulmonary rehabilitation have increased six-minute walk distances and reduced COPD exacerbations (Ries et al., 2007; van Ranst, Stoop, Meijer, Otten, & van de Port, 2014). Several small studies demonstrated that patients with inoperable lung cancer, who underwent an exercise intervention, improved their six-minute walk and muscle strength measurements (Quist et al., 2012) or had increased FEV1 and FVC after completion of a 10-week pulmonary rehabilitation program (Taruumi et al., 2015). Other small studies show improved QOL after pulmonary rehabilitation (Janssen, Abbink, Lindeboom, & Vliet Vlieland, 2017; Sommer et al., 2016).

Many patients are eager to proceed with resection as soon as possible, but evidence suggests they should consider pulmonary rehabilitation first. Bradley et al. (2013) compared 58 patients who underwent pre- and postoperative pulmonary rehabilitation with 305 patients who underwent usual care (omitting pulmonary rehabilitation). In their results, the intervention group had increased FEV1 and six-minute walk distances preoperatively, and 54% stopped smoking; they also exhibited fewer postoperative hospital complications and readmissions. Overall, data clearly support using pulmonary rehabilitation as an adjuvant therapy in patients with COPD who have lung cancer, both pre- and postoperatively.

Ongoing Evaluation

Some therapies cause secondary issues (e.g., radiation pneumonitis, bronchospasm, worsening of nutritional status) that can

**FIGURE 3.**

GUIDELINES FOR THE MANAGEMENT OF SYMPTOMS EXPERIENCED BY PATIENTS WITH LUNG CANCER AND COPD

THE AMERICAN COLLEGE OF PHYSICIANS, AMERICAN COLLEGE OF CHEST PHYSICIANS, AND AMERICAN THORACIC SOCIETY

Diagnosis and Management of Stable COPD


GLOBAL INITIATIVE FOR COPD

Global Strategy for the Diagnosis, Management, and Prevention of COPD

- www.goldcopd.org/download/120

NCCN CLINICAL PRACTICE GUIDELINES IN ONCOLOGY (NCCN GUIDELINES®)

Cancer-Related Fatigue [v.2.2018]


Distress Management [v.1.2018]


Palliative Care [v.1.2018]


ONCOLOGY NURSING SOCIETY

PEP (topics include dyspnea and fatigue management)

- www.ons.org/practice-resources/pep

COPD—chronic obstructive pulmonary disease; NCCN—National Comprehensive Cancer Network; PEP—Putting Evidence Into Practice
be life-threatening when compounded with chronic lung disease. Pulmonary function and clinical status should continually be evaluated, particularly after therapies are completed.

**Clinical Management Implications and Resources**

The twofold burden of COPD alongside lung cancer can affect dyspnea, fatigue, and psychological distress, three of these patients’ most common and troubling symptoms (Lehto, 2016). If these factors are anticipated and addressed adequately, the QOL of these patients and their families can be enhanced. Patient and family member input can be invaluable for understanding individual QOL concerns. Assessing QOL during clinical practice is an important trend (Varricchio & Ferrans, 2010) but should be simple, efficient, and easy to score and interpret.

A detailed discussion of symptom management is beyond the current article’s scope. Several lung cancer–specific QOL instruments include symptom assessment (McDonnell et al., 2014), and some organizations also have published guidelines for symptom management in patients with COPD and lung cancer (see Figure 3).

**Conclusion**

Optimal treatment of concurrent COPD is crucial to the success of lung cancer therapy. Oncology and advanced practice nurses can play an essential role in these patients’ care, which requires early and close attention to prevention, assessment, treatment, and surveillance of both diseases and related symptomatology. Patients with lung cancer and COPD benefit from a multidisciplinary disease management approach throughout their illnesses to ensure maximum QOL and functional status (for themselves and their families). In collaboration with a pulmonologist, oncology and advanced practice nurses can help improve these patients’ health outcomes using pharmacologic and nonpharmacologic treatments and symptom management (Shinde & Dashtri, 2016; Williams, Grant, Tiep, Kim, & Hayter, 2012). More clinical research is needed to expand understanding of the management of patients with this twofold disease burden, to increase the use of existing evidence-based interventions, and to develop and test new QOL-boosting interventions.

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